



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Konata M. George

Syed F.A. Hossainy et al.

Serial No.: 10/751,043

Art Unit: 1616

Filed: January 2, 2004

Title: COATING FOR IMPLANTABLE DEVICES AND A METHOD OF
FORMING THE SAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and non-patent documents have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be

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construed as a representation that a search has been made or that no other material information may exist.

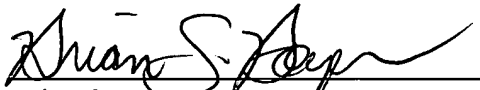
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Supplemental Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, the fee of **\$180.00** as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) is authorized to be charged to Deposit Account No. **07-1850**.

Date: December 1, 2005

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,



Brian S. Boyer, Ph.D.
Attorney for Applicants
Reg. No. 52,643



ITW

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/751,043	
	Filing Date	January 2, 2004	
	First Named Inventor	Syed F.A. Hossainy	
	Group Art Unit	1616	
	Examiner Name	George Konata	
Total Number of Pages in This Submission (excluding references)	14	Attorney Docket Number	50623.362

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Postage Paid Postcard <input checked="" type="checkbox"/> Information Disclosure Statement (in duplicate) (2 pages) with Form PTO-1449 (7 pgs) citing 170 References <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) <input type="checkbox"/> Recordation Form Cover Sheet <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) In/Formal ____ Sheets with Submission of Drawings Transmittal <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) 99 References
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Brian S. Boyer, Ph.D. Reg. No. 52,643
Signature	<i>Vern Breder for</i>
Date	December 2, 2005

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/751,043
Filing Date	January 2, 2004
First Named Inventor	Syed F.A. Hossainy
Examiner Name	George Konata M.
Art Unit	1616
Attorney Docket No.	50623.362

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

07-1850

Deposit
Account
Name

Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20 **	X	18	
Independent Claims	-3 **	X	86
Multiple Dependent	0	X	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) .00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	205	Extension for reply within second month	
1253	950	2253	465	Extension for reply within third month	
1254	1,480	2254	725	Extension for reply within fourth month	
1255	2,010	2255	985	Extension for reply within fifth month	
1401	330	2401	160	Notice of Appeal	
1402	330	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 180.00

SUBMITTED BY


Complete (if applicable)

Name (Print/Type)	Brian S. Boyer, Ph.D.	Registration No. Attorney/Agent	52,643	Telephone	(415) 954-0200
Signature				Date	December 1, 2005

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FORM PTO-1449 (Modified)		US DEPARTMENT OF		Docket No.	Application No.
COMMERCE		US Patent and Trademark Office		50623.362	10/751,043
 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)				Applicant	
				Syed F.A. Hossainy et al.	
				Filing Date	Group Art Unit
				January 2, 2004	1616

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
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	A2	3,929,992	12/30/75	Sehgal et al.			
	A3	4,151,413	4/24/79	Arnold			
	A4	4,316,885	2/23/82	Rakhit			
	A5	4,325,903	4/20/82	Wissbrun et al.			
	A6	4,459,252	7/10/84	MacGregor			
	A7	4,650,803	3/17/87	Stella et al.			
	A8	5,059,211	10/22/91	Stack et al.			
	A9	5,100,883	3/31/92	Schiehser			
	A10	5,102,876	4/7/92	Caufield			
	A11	5,118,677	6/2/92	Caufield			
	A12	5,118,678	6/2/92	Kao et al.			
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	A14	5,120,727	6/9/92	Kao et al.			
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Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A67	2001/0046518	11/29/01	Sawhey			8/14/98
	A68	2002/0007213	1/17/02	Falotico et al.			5/7/01
	A69	2002/0007214	1/17/02	Falotico			5/7/01
	A70	2002/0007215	1/17/02	Falotico et al.			5/7/01
	A71	2002/0016625	2/07/02	Falotico et al.			5/7/01

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Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	2001-190687	7/17/01	Japan (Abstract)			X	
	B2	EP 0 323 042	7/05/89	EPO				
	B3	EP 0 401 747	12/12/90	EPO				
	B4	EP 0 414 632	2/27/91	EPO				
	B5	EP 0 475 230	3/18/92	EPO				
	B6	EP 0 875 218	4/11/98	EPO				
	B7	EP 0 978 288	2/09/00	EPO				
	B8	EP 1 036 562	9/20/00	EPO				
	B9	EP 1 064 942	1/03/01	EPO				
	B10	WO 90/01969	3/8/90	WIPO				
	B11	WO 95/31104	11/23/95	WIPO				
	B12	WO 96/13273	5/09/96	WIPO				
	B13	WO 96/40140	12/19/96	WIPO				
	B14	WO 97/03654	2/06/97	WIPO				
	B15	WO 97/31020	8/28/97	WIPO				

	B16	WO 98/02441	1/22/98	WIPO				
	B17	WO 98/04256	2/05/98	WIPO				
	B18	WO 98/09523	3/12/98	WIPO				
	B19	WO 98/10747	3/19/98	WIPO				
	B20	WO 98/23228	6/4/98	WIPO				
	B21	WO 98/44921	10/15/98	WIPO				
	B22	WO 98/44922	10/15/98	WIPO				
	B23	WO 99/16386	4/8/99	WIPO				
	B24	WO 99/19471	4/22/99	WIPO				
	B25	WO 99/19473	4/22/99	WIPO				
	B26	WO 99/24036	5/20/99	WIPO				
	B27	WO 99/39720	8/12/99	WIPO				
	B28	WO 99/42104	8/26/99	WIPO				
	B29	WO 99/44584	9/10/99	WIPO				
	B30	WO 99/44597	9/10/99	WIPO				
	B31	WO 99/60997	12/02/99	WIPO				
	B32	WO 99/61040	12/02/99	WIPO				
	B33	WO 00/09085	2/24/00	WIPO				
	B34	WO 00/15208	3/23/00	WIPO				
	B35	WO 00/24390	5/04/00	WIPO				
	B36	WO 00/32234	6/08/00	WIPO				
	B37	WO 00/32238	6/08/00	WIPO				
	B38	WO 00/33878	6/15/00	WIPO				
	B39	WO 00/38703	7/06/00	WIPO				
	B40	WO 00/38590	7/06/00	WIPO				
	B41	WO 00/38754	7/06/00	WIPO				
	B42	WO 00/42949	7/27/00	WIPO				
	B43	WO 00/56247	9/28/00	WIPO				
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	B45	WO 00/66122	11/09/00	WIPO				
	B46	WO 00/71052	11/30/00	WIPO				
	B47	WO 00/74665	12/14/00	WIPO				
	B48	WO 01/14387	3/01/01	WIPO				

	B49	WO 01/23395	4/05/01	WIPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)								
	C1	Anonymous, <i>A Simple Approach for Glass Transition Temperature Prediction</i> , http://www.geocities.com/ResearchTriangle/Thinktank/4146/6400glass-temperature.html , printed 5/5/05 (2 pages).						
	C2	Anonymous, <i>Appendix I – Glass Transition Temperature (T_g)</i> www.Dymax.com/pdf/SPIE-Paper-Appendix.pdf , printed 5/9/05 (2 pages).						
	C3	Anonymous, <i>Differential Scanning Calorimetry</i> , http://www.pslc.ws/macrog/dsc.htm , printed 5/9/05 (8 pages).						
	C4	Anonymous, <i>Glass transition temperature</i> , http://palimpsest.stanford.edu/don/dt/dt1549.html , printed 5/5/05 (1 page).						
	C5	Anonymous, <i>Glass Transition Temperature</i> , http://islnotes.cps.msu.edu/trp/back/mol_glas.html , printed 5/5/05 (1 page).						
	C6	Anonymous, <i>How Big are Polymers?</i> www.chemeng.ucla.edu/che112/Notes , printed 5/9/05 (13 pages).						
	C7	Anonymous, <i>Measuring and Understanding T_g (Glass Transition Temperature)</i> , Arlon, Application Notes (4 pages).						
	C8	Anonymous, <i>The Glass Transition</i> , http://www.pslc.ws/macrog/tg.htm , printed 5/18/05 (11 pages).						
	C9	Anonymous, <i>Thermoplastics – An Introduction</i> , http://www.azom.com/details.asp?ArticleID=83&head=Thermoplastics%2B-%2BAn%2BIntroduction , printed 5/18/05 (5 pages).						
	C10	Arvanitoyannis et al., <i>Novel star-shaped polylactide with glycerol using stannous octoate or tetraphenyl tin as catalyst: 1 Synthesis, characterization and study of their biodegradability</i> , Polymer vol. 36, no. 15, pp.2947-2956 (1995).						
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	C14	Birmingham Polymers, Inc., <i>Physical Properties of Selected Polymers</i> , http://www.birminghampolymers.com/htdocs/physical_properties.htm , printed 4/26/04 (2 pages).						
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	C17	Black et al., <i>Glass Transitions of Some Block Copolymers</i> , Journal of Applied Polymer Science 18:2307-2310 (1974).						
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	C20	Buchholz et al., <i>Cooling rate dependence of the glass transition temperature of polymer melts: Molecular dynamics study</i> , Journal of Chemical Physics 117(15):7364-7372 (Oct. 15, 2002).						
	C21	Ding et al., <i>Novel Synthesis of Poly(p-phenylene sulfide) from Cyclic Disulfide Oligomers</i> , Macromolecules 29:4811-4812 (1996).						
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C25	Fryer et al., <i>Dependence of the Glass Transition Temperature of Polymer Films on Interfacial Energy and Thickness</i> , Macromolecules 34(16):5627-5634 (2001).
C26	Fujii et al., <i>Investigation of the Stereoregularity of Poly(vinyl Alcohol)</i> , Journal of Polymer Science: Part A 2:2327-2347 (1964).
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C28	Grohens et al., <i>Tacticity and surface chemistry effects on the glass transition temperature of thin supported PMMA films</i> , Mat. Res. Soc. Symp. 629:FF1.7.1-FF1.7.7 (2000).
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